

Transport and the Environment Board

16 December 2021

Programme Approvals

Is the paper exempt from the press and public?	No
<i>Reason why exempt:</i>	Not applicable
Purpose of this report:	Funding Decision
Is this a Key Decision?	Yes
Has it been included on the Forward Plan?	Yes

Director Approving Submission of the Report:
Gareth Sutton, Chief Finance Officer/s73 Officer

Report Author(s):
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Executive Summary

This paper requests full approval of 4 schemes and progression of 2 schemes from Strategic Business Case (SBC) and Outline Business Case (OBC) to Full Business Case (FBC), subject to the conditions set out in the Assurance Summaries. The paper also requests delegated authority to enter into necessary legal agreements for the schemes

What does this mean for businesses, people and places in South Yorkshire?

This report is seeking approval to progress business cases and enter into contract for a number of investment proposals which will support the MCA's aspirations.

Recommendations

The Board consider and approve:

1. Progression of "T27 South Yorkshire Rail Station Improvements" to MCA for full approval and award of £3.45m grant from Transforming Cities Fund (TCF2) to South

- Yorkshire Passenger Transport Executive (SYPTE) subject to the conditions set out in the Assurance Summary attached at Appendix A1;
2. Progression of 'O43 Goldthorpe Station Access' to full approval and award of £0.55m grant from Active Travel Fund 2/Gainshare (ATF2/Gainshare) to Barnsley Metropolitan Borough Council (BMBC) subject to the conditions set out in the Assurance Summary attached at Appendix A2;
 3. Progression of 'O44 Elsecar Station Access' to full approval and award of £0.58m grant from ATF2/Gainshare to BMBC subject to the conditions set out in the Assurance Summary attached at Appendix A3;
 4. Progression of 'O46 Warmsworth to Conisbrough Active Travel Link" to full approval and award of £1.0m grant from ATF2/Gainshare to DMBC subject to the conditions set out in the Assurance Summary attached at Appendix A4;
 5. Progression of "D31 Community Transport Electric Minibus" Strategic Business Case (SBC) for approval to proceed to Full Business Case (FBC) for Gainshare funding to SYPTE subject to the conditions set out in the Assurance Summary attached at Appendix B1;
 6. Progression of "T5 A631 Rotherham to Maltby Bus Corridor" Outline Business Case (OBC) to proceed to FBC for ATF/Gainshare funding to RMBC subject to the conditions set out in the Assurance Summary attached at B2; and,
 7. Delegated authority be given to the Head of Paid Service in consultation with the Section 73 and Monitoring Officer to enter into legal agreements for the schemes covered at 2-4 above subject to funding being available.

Consideration by any other Board, Committee, Assurance or Advisory Panel

Assurance Panel	25 October 2021
Assurance Panel	08 November 2021
Assurance Panel	22 November 2021
Assurance Panel	06 December 2021

1. Background

1.1 This report seeks approval for the progression of schemes funded from multiple funding streams. The paper also requests delegated authority to enter into legal agreements for the named schemes.

1.2 Progression of schemes to full approval and award of funding

The paper is seeking progression to full approval and award of funding for 3 projects and progression to the MCA – due to the value exceeding the Board's delegation limits - for one scheme with a recommendation to approve. The schemes are detailed in Appendix A. The total amount of funding requested is £5.38m grant; £3.45m from the TCF2 and £2.13m from the ATF2 fund and previously committed Gainshare. The projects are located across Barnsley and Doncaster. The assurance summaries include conditions of funding which must be met before contract execution.

Full details of the schemes and risks are included in Appendix A.

1.3 Progression of schemes to from SBC/OBC to FBC

The paper is seeking progression from SBC/OBC to FBC for 2 projects which are detailed in Appendix B. The total amount of funding requested is £2.25m from the TCF allocation and £1.40m from previously committed Gainshare. The TCF project is located in Rotherham whilst the Gainshare funding will benefit communities across South Yorkshire. The assurance summary includes conditions which must be met as part of FBC submission.

Full details of the schemes and risks are included in Appendix B.

2. Options Considered and Recommended Proposal

2.1 Option 1

Do not approve the recommendations in this report.

2.2 Option 1 Risks and Mitigations

Inability to approve the projects presented or release development costs may result in a slower pace of delivery and loss of activity/spend to the programmes

2.3 Option 2

Award projects a smaller amount of grant funding

2.4 Option 2 Risks and Mitigation

All funding awards associated with the projects have been fully appraised in line with the SYMCA Assurance Framework to ensure value for money. Funding for these projects is timebound by the funding bodies and any deliverability issues will be managed via alternative funding sources.

2.5 Option 3

Approve all recommendations

2.6 Option 3 Risks and Mitigations

By approving the recommendations, the available programme funding will reduce with funding beyond the original allocations secured from alternative funding sources. However, the projects were included in the bids submitted to the funding bodies and/or are considered a strong strategic fit in line with investment aims.

2.7 Recommended Option

Option 3

3. Consultation on Proposal

- 3.1 Once a project has been accepted onto a programme pipeline, the Value for Money Statement is published on the SYMCA website alongside a summary of the activity. This is updated periodically to include links to the key documents for each project and a record of progress. The SYMCA Executive Team collects any external comments on these schemes, and these are considered as part of the appraisal process. Project sponsors are also required to publish business cases on their own websites (or an appropriate summary of the submission) and must consider all comments received and reflect this in the next stages of the application process.

4. Timetable and Accountability for Implementing this Decision

- 4.1 Subject to the approval of the recommendations, the Head of Paid Service in consultation with the Section 73 Officer and Monitoring Officer will progress to enter into legal agreements with each promoter.
- 4.2 The promoter is responsible for the further development of projects that have gateway approval to the next stage of the SYMCA Assurance process.

5. Financial and Procurement Implications and Advice

- 5.1 The projects presented for approval today are profiled to draw down up to £3.45m from the TCF2 allocation of £166.3m and up to £1.50m from the ATF2/ Gainshare allocation of £7.70m.

6. Legal Implications and Advice

- 6.1 The legal implications of the projects have been fully considered by a representative of the Monitoring Officer and included in the recommendations agreed within the Assurance Summaries as presented in the Appendices.
- 6.2 Prior to awarding the grants, the SYMCA shall ensure contracts are put in place to allow conditions of grant to be discharged.

7. Human Resources Implications and Advice

- 7.1 Not applicable.

8. Equality and Diversity Implications and Advice

- 8.1 Appropriate equality and diversity considerations are taken into account as part of the assurance of the project business cases.

9. Climate Change Implications and Advice

- 9.1 A number of the programmes include new and/or enhanced active travel initiatives and improvements to public and community transport infrastructure thereby shifting private vehicle use to more sustainable modes of transport. This aims to deliver huge benefits for health and the prosperity of cities, positively contributing to the SYMCA's climate change aspirations.

10. Information and Communication Technology Implications and Advice

- 10.1 Not applicable

11. Communications and Marketing Implications and Advice

- 11.1 The approvals provide positive opportunities to highlight the difference the SYMCA's investments will make to people and passengers, businesses and places across South Yorkshire and how Members are taking action to support the region's recovery from COVID.

List of Appendices Included

- A Progression of schemes to full approval and award of funding
- A1 Assurance Summary T27 South Yorkshire Rail Station Improvements (TCF2 FBC)
- A2 Assurance Summary O43 Goldthorpe Station Access (ATF2 / Gainshare FBC)
- A3 Assurance Summary O44 Elsecar Station Access (ATF2 / Gainshare FBC)
- A4 Assurance Summary O46 Warmsworth to Conisbrough Active Travel Link
- B Progression of schemes from SBC to FBC
- B1 Assurance Summary D31 Community Transport Electric Minibus (Gainshare SBC)
- B2 Assurance Summary T5 A631 Rotherham to Maltby Bus Corridor (TCF OBC)